



## EMPLOYEE BENEFIT PLAN AUDITS

Generally, employee benefit plans, including defined benefit pension plans, defined contribution plans, and employee health and welfare benefit plans, are required to have an annual audit by a CPA firm when the plan has 100 or more participants. Some employee benefit plans are required to register and file audited financial statements with the Securities and Exchange Commission. From small plans of private companies to the large plans of public companies, FRKPC is capable of handling your audit needs, whether in Texas or anywhere else in the United States.

### FRKPC CAN HELP

FRKPC can provide your plan with a quality audit to meet your ERISA or SEC requirements. We are a member firm of the AICPA Center for Public Company Audit Firms and the Private Companies Practice Section and have consistently received unmodified peer reviews of our auditing practice since we joined these sections in 1979.

FRKPC is a member of the AICPA Employee Benefit Plan Audit Quality Center. As a member, FRKPC meets higher standards for auditor training in employee benefit plan audits, higher experience requirements to work on an employee benefit plan audit engagement, additional internal inspection requirements, and additional peer review requirements for employee benefit plan audits. Plan sponsors will receive an audit that meets the highest professional standards from a firm whose peers recognize it as excelling in employee benefit plan audits.

### TECHNOLOGY

Our paperless audits use the latest technology to provide accurate and seamless audits. FRKPC uses a web-based application that is a visual and real-time documentation solution. It is a compliance and testing repository that helps keep management informed of the status of the engagement. This software helps keep the individuals responsible for the compliance

tasks, and holds them accountable, as well as keeping management sharply focused on the end results.

### METHODOLOGY

We have a significant investment in tools, training and methodologies that help you toward compliance. Our methodology outlines specific action steps management may take to help build compliance.

Our paperless audits emphasize risk areas and regulatory compliance. We conclude our audit with a comprehensive letter to the plan's administrative committee designed to help the administrators of the plan fulfill their fiduciary responsibilities.

### STAFF

FRKPC has a team of experienced practitioners trained in the fundamentals and best practices of accounting and auditing. They also receive additional training and extensive experience specifically in employee benefit plan audits.

### CONTACT INFORMATION

For more information about how FRKPC can help you with your audits, please contact us at 713-260-5230.